

野村證券投資信託股份有限公司 函

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發文日期：中華民國114年7月29日

發文字號：野村信字第1140000501號

密等及解密條件或保密期限：

附件：中、英文股東通知信、主管機關核准函及受影響之基金清單

(0000501B0A_ATTCH1. pdf、0000501B0A_ATTCH2. pdf、0000501B0A_ATTCH3. pdf、
0000501B0A_ATTCH4. pdf)

主旨：謹函轉本公司擔任總代理人之高盛歐洲非投資等級債券基金清算及高盛新興市場增強股票基金轉換通知，請查照。

說明：

一、本公司經金融監督管理委員會核准，擔任高盛系列境外基金之總代理人，在國內公開募集及銷售，合先敘明。

二、經Goldman Sachs Funds III董事會決議高盛歐洲非投資等級債券基金無法以經濟有效之方式持續運作，基於股東的最佳利益，董事會已決定將於2025年10月13日(生效日)清算此基金，相關說明如下：

1. 自2025年7月29日通知日起，董事會或管理公司將全權決定接受或拒絕本子基金股份之申購或轉換(入)。且通知日起投資經理公司可開始出售本子基金資產，以利基金之清算，因此，於生效日前，本子基金可能需要持有現金、約當現金或貨幣市場工具。此等操作隨時可能導致本子基金不再根據UCITS風險分散規定進行分散或根據本子基金之投資政策進行投資。

2. 本子基金發行之股份如於生效日前全球已無股東持有，董事會有立即清算本基金之權利。

3. 於2025年10月13日(生效日)前尚未買回本子基金投資之股東，其股份將於生效日依適用的每股淨資產價值之清算所得款項支付予股東。款項會於生效日起三個營業日內或清算完成後盡速付款至股東所指定之銀行帳戶。

三、經整體審視，高盛新興市場增強股票基金（本基金非屬環境、社會及治理相關主題之境外基金）Y股被認為係屬低度活躍，且無法確定未來是否有新資金流入，基於最佳利益及經濟合理性考量，Goldman Sachs Funds III董事會已決定不再募集本股份級別，並將於2025年8月29日（生效日）進行基金轉換，相關說明如下：

1. 轉換基金將由高盛新興市場增強股票基金（本基金非屬環境、社會及治理相關主題之境外基金）Y股（ISIN: LU0756535653）轉換至高盛新興市場增強股票基金（本基金非屬環境、社會及治理相關主題之境外基金）X股（ISIN: LU0113302664）。

2. Y股基金直至2025年8月29日(生效日)之交易截止時間前，所持有之股東可於相關營業日依適用之每股淨資產價值免費買回您於本股份級別中之投資並且不收取相對應持有期間之遞延手續費。如Y股基金未於本轉換生效日前買回，將會被轉換至相對應X股份級別之新股份。

四、如上所述，請參閱相關中、英文股東通知書、主管機關核准函及受影響之基金清單，祈請協助辦理。

正本：凱基證券股份有限公司



副本：

電 子 文
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(中文節譯文)

本文件至關重要，需要您立即關注。若您對應該採取之行動有疑問，您應該向您的投資專業人士、銀行經理、事務律師、會計師或其他獨立財務顧問尋求建議。如果您已出售或轉讓您於Goldman Sachs Funds III之任何股份，請立即將本文件之副本傳遞予買方或受讓人，或轉交予進行出售或轉讓之投資專業人士、銀行或其他代理人，以便儘快轉交予買方或受讓人。若您為保管人、代名人、中介機構或其他平台供應商，請將本文件轉交予股份之實質受益人。本文件中未定義之詞彙與公開說明書中定義之含義相同。

GOLDMAN SACHS FUNDS III

可變資本投資公司註冊辦

公室

80, route d'Esch
L-1470 Luxembourg
Grand Duchy of Luxembourg

R.C.S. Luxembourg B 44.873

2025年7月29日

**致Goldman Sachs Funds III (「本基金」)之子基金—高盛歐洲非投資等級債券基金
(本基金之配息來源可能為本金)(「本子基金」)股東之通知**

親愛的股東

我們謹通知您，經整體審視，本子基金之未來新資金流入或新收益潛力預期乃具不確定性，此將導致其無法以經濟有效之方式持續運作，基於股東的最佳利益，董事會已決定將於2025年10月13日(「生效日」)清算本子基金(「本清算」)。

管理公司已同意承擔與本通知有關之直接法律顧問支出，與寄送本通知有關之作業支出及與本清算有關之任何公告或額外查核支出。

本清算將依據本基金公開說明書第三部分第XV章及章程第26條進行。直至生效日之交易截止時間，股東有權根據公開說明書之規定，於相關營業日依適用之每股淨資產價值免費買回其於本子基金中之投資。買回款項將依其持有本子基金之比例支付予股東，並依股東最佳利益所決定之頻率及日期進行交割。

謹請注意，如您於本子基金的持股構成本子基金資產之重大持有，我們或須以確保公平對待其餘股東之方式安排買回您的股份。具體而言，於完全符合(i)公開說明書所載條款及條件（尤其係其第一部份第III章）及(ii)章程（尤其係第11條）的情況下，本基金受限於在任何估價日買回超過本子基金當時已發行或被視為已發行的所有股份類別之股份價值10%時，則買回指令得由本基金延遲執行之限制。

謹請注意，自本通知日期起，董事會或管理公司將全權決定接受或拒絕本子基金股份之申購或轉換。

自本通知日期起，將清算本子基金，投資經理公司得開始出售本子基金資產，以利本子基金之清算，因此，於生效日前，本子基金可能需要持有現金、約當現金或貨幣市場工具。此等操作隨時可能導致本子基金不再根據UCITS風險分散規定進行分散或根據本子基金之投資政策進行投資。

謹請注意，於生效日前尚未買回本子基金投資之股東，其等股份將於生效日依適用的每股淨資產價值免費買回。相關買回款項通常會於生效日起三個營業日內或於清算完成後盡速於此等生效日後轉撥至股東所指定銀行帳戶。無法分派予股東任之何清算款項將代其存放於盧森堡之Caisse de Consignation。

如本子基金發行之股份於生效日前經全數買回，董事會保有立即清算本子基金之權利。

謹建議股東依據個人情況，向其等之稅務（或其他）顧問諮詢有關本清算之影響及投資成立於盧森堡基金之任何結果。股東亦請知悉，管理公司管理之基金系列中，或有其他具相似投資策略及風險屬性之子基金可供選擇。此等子基金詳請，請洽詢您慣常的顧問。謹提醒股東，應就任何替代投資方案之適合性，自行尋求專業建議。

(中譯文僅供參考，與原文相較不盡完整或有歧異，如有疑義應以英文本為準)

更多資訊得向管理公司之註冊辦事處索取。

Goldman Sachs Funds III董事會

附錄 I—定義字彙表

「章程」	係指本基金之公司章程。
「董事會」	係指本基金之董事會或任何正式委任之委員會，如公開說明書所載。
「營業日」	就各子基金而言，係指董事會與管理公司協商後決定為營業日之任何日子，或以下任一項適用之日：(1)倫敦及/或盧森堡之銀行營業；(2) 盧森堡證券交易所營業；(3) 非子基金投資組合管理團隊所在國之公眾假日；或(4) 董事會經與管理公司協商後認為，有足夠的子基金投資相關市場開放，允許足夠的交易和流動性，從而能夠有效地管理子基金情況適用之日子。各子基金之營業日按此基準界定，非營業日清單則得向管理公司索取。為免疑義，董事會特別決定以下之日為非營業日：新年（1月1日）、耶穌受難日（Good Friday）、復活節星期一（Easter Monday）、耶誕節（12月25日）以及節禮日（12月26日）。
「本清算」	係指本子基金之清算
「生效日」	係指本通知函中通知之變更生效日。
「本基金」	係指Goldman Sachs Funds III，根據盧森堡大公國法律組織之集合投資計畫，以「傘狀結構」形式成立，由多檔子基金組成。
「投資經理公司」	管理公司及/或受本基金或代表本基金之管理公司指派之投資經理公司。
「投資政策」	係指公開說明書所載本子基金之投資政策。
「管理公司」	係指Goldman Sachs Asset Management B.V.或本基金可能不時委託之任何其他作為其指定之本基金管理公司。
「公開說明書」	係指本基金之公開說明書。
「股東」	係指本子基金股份之持有人。
「股份級別」	係指公開說明書第二部分「股份級別」一節所述由本基金發行之子基金任何級別股份。
「股份」	係指公開說明書第二部分「股份級別」一節所述由本基金發行之子基金任何股份級別之股份。
「子基金」	係指高盛歐洲非投資等級債券基金(本基金之配息來源可能為本金)
「UCITS」	係指UCITS指令所定義之可轉讓證券集合投資計劃。
「評價日」	除公開說明書中子基金簡介有其他規定外，各營業日。



(中文節譯文)

本文件至關重要，需要您立即關注。若您對應該採取之行動有疑問，您應該向您的投資專業人士、銀行經理、事務律師、會計師或其他獨立財務顧問尋求建議。如果您已出售或轉讓您於Goldman Sachs Funds III之任何股份，請立即將本文件之副本傳遞予買方或受讓人，或轉交予進行出售或轉讓之投資專業人士、銀行或其他代理人，以便儘快轉交予買方或受讓人。若您為保管人、代名人、中介機構或其他平台供應商，請將本文件轉交予股份之實質受益人。本文件中未定義之詞彙與公開說明書中定義之含義相同。

GOLDMAN SACHS FUNDS III

可變資本投資公司註冊辦公室

80, route d'Esch
L-1470 Luxembourg
Grand Duchy of Luxembourg

R.C.S. Luxembourg B 44.873

2025年7月29日

致Goldman Sachs Funds III (「本基金」) 之子基金—高盛新興市場增強股票基金 (本基金非屬環境、社會及治理相關主題之境外基金) Y股 (ISIN: LU0756535653) (「本股份級別」) 股東之通知

親愛的股東，

我們謹通知您，經整體審視，本股份級別被認為係屬低度活躍，且無法確定未來是否有新資金流入，基於您的最佳利益及經濟合理性考量，董事會已決定不再募集本股份級別，並會於2025年8月29日 (「生效日」) 將您的投資進行以下轉換：

高盛新興市場增強股票基金 (本基金非屬環境、社會及治理相關主題之境外基金) Y股 (ISIN: LU0756535653)

轉換至

(中譯文僅供參考，與原文相較不盡完整或有歧異，如有疑義應以英文本為準)

高盛新興市場增強股票基金（本基金非屬環境、社會及治理相關主題之境外基金）X 股（ISIN: LU0113302664）

（「本轉換」）

X 股份級別具有以下優勢：(i) 其不適用額外的 1% 分銷費及(ii) 其不受遞延銷售費之限制（詳參公開說明書），該費用將自買回 Y 股份級別之買回款項中扣除。

管理公司已同意承擔與本轉換有關之支出，包含任何與寄送及公告本通知相關之法律或作業支出。

本轉換將依據本基金公開說明書第三部分第XV章及章程第26條進行。直至生效日之交易截止時間，您有權根據公開說明書之規定，於相關營業日依適用之每股淨資產價值免費買回您於本股份級別中之投資。買回款項將依您持有之本股份級別比例支付予您，並依您最佳利益所決定之頻率及日期進行交割。

謹請注意，如未於本轉換生效日前買回您於子基金之投資，您將取得依評價日適用之每股淨資產價值所發行之相應股份級別之新股份，以交換目前持有之股份。

謹建議您依據個人情況，向您的稅務（或其他）顧問諮詢有關本轉換之影響及投資成立於盧森堡基金之任何結果。

更多資訊得向管理公司之註冊辦事處索取。

誠摯地，

2025年7月29日

代表Goldman Sachs Funds III之董事會

附錄 I—定義字彙表

「章程」	係指本基金之公司章程。
「董事會」	係指本基金之董事會或任何正式委任之委員會，如章程所載。
「營業日」	係指董事會與管理公司協商後決定為營業日之任何日子，或以下列任一項適用之日：(1) 倫敦及/或盧森堡之銀行營業；(2) 盧森堡證券交易所營業；(3) 非子基金投資組合管理團隊所在國之公眾假日；或(4) 董事會經與管理公司協商後認為，有足夠的子基金投資相關市場開放，允許足夠的交易和流動性，從而能夠有效地管理子基金情況適用之日。各子基金之營業日按此基準界定，非營業日清單則得向管理公司索取。為免疑義，董事會特別決定以下之日為非營業日：新年（1月1日）、耶穌受難日（Good Friday）、復活節星期一（Easter Monday）、耶誕節（12月25日）以及節禮日（12月26日）。
「本轉換」	係指以下之轉換 高盛新興市場增強股票基金（本基金非屬環境、社會及治理相關主題之境外基金）Y股（ISIN: LU0756535653） 轉換至 高盛新興市場增強股票基金（本基金非屬環境、社會及治理相關主題之境外基金）X股（ISIN: LU0113302664）
「生效日」	係指本通知函中通知之變更生效日。
「本基金」	係指Goldman Sachs Funds III，根據盧森堡大公國法律組織之集合投資計畫，以「傘狀結構」形式成立，由多檔子基金組成。
「管理公司」	係指Goldman Sachs Asset Management B.V.或本基金可能不時委託之任何其他作為其指定之本基金管理公司。
「公開說明書」	係指本基金之公開說明書。
「股東」	係指子基金股份之持有人。
「股份級別」	係指公開說明書第二部分「股份級別」一節所述由本基金發行之子基金Y股份級別。
「股份」	係指公開說明書第二部分「股份級別」一節所述由本基金發行之子基金Y股份級別之股份。
「子基金」	係指高盛新興市場增強股票基金（本基金非屬環境、社會及治理相關主題之境外基金）
「估價日」	除公開說明書中子基金簡介有其他規定外，各營業日。



This document is important and requires your immediate attention. If you are in doubt as to the action you should take, you should seek advice from your investment professional, bank manager, solicitor, accountant or other independent financial adviser. If you have sold or transferred any of your shares in Goldman Sachs Funds III please pass a copy of this document at once to the purchaser or transferee or to the investment professional, bank or other agent through whom the sale or transfer was effected for transmission to the purchaser or transferee as soon as possible. If you are a custodian, nominee, intermediary or other platform provider, please pass this document on to the beneficial owner of the Shares. Capitalised terms not defined in this document shall have the same meaning as defined in the Prospectus.

GOLDMAN SACHS FUNDS III

Société d'Investissement à

Capital Variable Registered

Office

80, route d'Esch

L-1470 Luxembourg

Grand Duchy of Luxembourg

R.C.S. Luxembourg B 44.873

29 July 2025

Notice to Shareholders of the Goldman Sachs Europe High Yield (Former NN) (the "Sub-Fund"), a sub-fund of the Goldman Sachs Funds III (the "Fund")

Dear Shareholder,

We are writing to inform you that, following an overall review where it was concluded that there was no certainty of new future flows or new revenue potential foreseen for the Sub-Fund which would have enabled to operate the Sub-Fund in an economically efficient manner, the Board of Directors has decided, in the best interest of Shareholders, to close the Sub-Fund (the "Closure") on 13 October 2025 (the "Effective Date").

The Management Company has agreed to bear the direct legal counsel costs associated with the notice, the operational costs related to the mailing of the notice and any publication or supplementary audit costs associated with the Closure.

The Closure will be effected in accordance with Part III, Chapter XV of the Fund's Prospectus and article 26 of the Articles. Up until the dealing cut-off time on the Effective Date, Shareholders

are entitled to redeem their investment in the Sub-Fund free of charge at the applicable net asset value per Share on the relevant Business Day, in accordance with the provisions of the Prospectus. Redemption proceeds will be paid out to Shareholders in proportion to their holdings in the Sub-Fund and be settled at a frequency and date to be determined in the best interest of Shareholders.

Please note that if your shareholding in the Sub-Fund constitutes a significant holding of the Sub-Fund's assets, we may be required to structure the redemption of your shares in a manner which ensures the fair treatment of remaining Shareholders. Specifically, in full compliance with (i) the terms and conditions set forth in the Prospectus, in particular with its Part I, chapter III and with (ii) the Articles, in particular article 11, the Fund will not be bound to redeem on any Valuation Day more than 10% of the value of shares of all share classes of the Sub-Fund then in issue or deemed to be in issue, and any redemption orders may be deferred by the Fund.

Please be aware that as of the date of this notice, subscriptions for or conversions to Shares of the Sub-Fund will be accepted or rejected in the sole discretion of the Board of Directors or of the Management Company.

From the date of this notice, the Sub-Fund will be put under liquidation and the Investment Manager may begin the sale of the Sub-Fund's assets in order to facilitate the closure of the Sub-Fund and therefore, prior to the Effective Date, the Sub-Fund may need to hold cash, cash equivalents, or money market instruments. These operations may at any time result in the Sub-Fund being no longer diversified in accordance with UCITS risk diversification requirements or invested in accordance with the Sub-Fund's Investment Policy.

Please note that those Shareholders who have not redeemed their investment in the Sub-Fund by the Effective Date will have their Shares redeemed at the applicable net asset value per Share on the Effective Date free of charge. Relevant redemption proceeds will ordinarily be transferred to the Shareholder's nominated bank account within three Business Days as from the Effective Date or as soon as possible after such Effective Date once the liquidation is completed. Any liquidation proceeds which cannot be distributed to Shareholders will be deposited on their behalf with the *Caisse de Consignation* in Luxembourg.

The Board of Directors reserves the right to immediately close the Sub-Fund if all Shares issued by the Sub-Fund are redeemed prior to the Effective Date.

Shareholders are advised to consult their tax (or other) advisers regarding the effect of the Closure, as well as any consequences of investing in a Luxembourg-based fund, in light of their individual circumstances. Shareholders are also informed that other sub-funds with a similar investment strategy and risk profile may be available in the fund range managed by the Management Company. For more information on such sub-funds please reach out to your usual adviser. Shareholders are reminded that they should seek their own advice as to the suitability of any alternative investment option.

More information can be requested at the registered office of the Management Company.

The Board of Directors of Goldman Sachs Funds III

Appendix I – Glossary of Defined Terms

“Articles”	means the articles of incorporation of the Fund.
“Board of Directors”	means the board of directors of the Fund or any duly appointed committee, as set out in the Prospectus.
“Business Day”	means for each Sub-Fund any day the Board of Directors in consultation with the Management Company decides is a Business Day or those days when any of the following apply (1) banks are open for business in London and/or Luxembourg (2) the Luxembourg Stock Exchange is open for business (3) it is not a public holiday in the country where the portfolio management team of the Sub-Fund is located or (4) the Board of Directors in consultation with the Management Company believes that sufficient underlying markets in which the Sub-Fund may invest are open to permit sufficient trading and liquidity to enable the Sub-Fund to be managed efficiently. Business Days are defined on this basis for each Sub-Fund, and a list of non-Business Days is available from the Management Company on request. For the avoidance of doubt, the Board of Directors has notably decided that the following days will be non-Business Days: New Year’s day (January 1st), Good Friday, Easter Monday, Christmas (December 25th) and Boxing Day (December 26th).
“Closure”	means the liquidation of the Sub-Fund.
“Effective Date”	means the date on which the changes notified in this notice will become effective.
“Fund”	means Goldman Sachs Funds III, an undertaking for collective investment organised under the laws of the Grand Duchy of Luxembourg and established as an "umbrella structure" comprised of a number of Sub-Funds.
“Investment Manager”	means the Management Company and/or the Investment Manager(s) appointed by the Fund or by the Management Company on behalf of the Fund.
“Investment Policy”	means the investment policy of the Sub-Fund, as set out in the Prospectus.
“Management Company”	means Goldman Sachs Asset Management B.V. or any other entity as may be engaged by the Fund to act as its designated management company of the Fund from time to time.
“Prospectus”	means the Prospectus of the Fund.
“Shareholder”	means a holder of a Share in the Sub-Fund.
“Share Class”	means any class of Shares of the Sub-Fund issued by the Fund as described in Part II section “Share Classes” in the Prospectus.
“Share”	means share(s) of any Share Class of the Sub-Fund issued by the Fund as described in Part II section “Share Classes” in the Prospectus.
“Sub-Fund”	means Goldman Sachs Europe High Yield (Former NN).
“UCITS”	means an Undertaking for Collective Investment in Transferable Securities under the UCITS Directive.
“Valuation Day”	means each Business Day, unless otherwise stated in the Sub-Fund's factsheet in the Prospectus.



This document is important and requires your immediate attention. If you are in doubt as to the action you should take, you should seek advice from your investment professional, bank manager, solicitor, accountant or other independent financial adviser. If you have sold or transferred any of your shares in Goldman Sachs Funds III please pass a copy of this document at once to the purchaser or transferee or to the investment professional, bank or other agent through whom the sale or transfer was effected for transmission to the purchaser or transferee as soon as possible. If you are a custodian, nominee, intermediary or other platform provider, please pass this document on to the beneficial owner of the Shares. Capitalised terms not defined in this document shall have the same meaning as defined in the Prospectus.

GOLDMAN SACHS FUNDS III

Société d'Investissement à

Capital Variable Registered

Office

80, route d'Esch

L-1470 Luxembourg

Grand Duchy of Luxembourg

R.C.S. Luxembourg B 44.873

29 July 2025

Notice to the Shareholders of the Goldman Sachs Emerging Markets Enhanced Index Sustainable Equity – Y (ISIN: LU0756535653) (the “Share-Class”), a sub-fund of the Goldman Sachs Funds III (the “Fund”)

Dear Shareholder,

We are writing to inform you that, following an overall review where it was concluded that there was low activity and no certainty of new future flows in the Share-Class, the Board of Directors has decided, in your best interest and as a matter of economic rationalization, to no longer offer the Share-Class and to convert your investment as follows on 29 August 2025 (the “**Effective Date**”):

Goldman Sachs Emerging Markets Enhanced Index Sustainable Equity – Y (ISIN: LU0756535653)

into

Goldman Sachs Emerging Markets Enhanced Index Sustainable Equity – X (ISIN: LU0113302664)

(the “**Conversion**”).

The X Share Class has the following benefits: (i) it does not apply an additional distribution fee of 1%; and (ii) it is not subject to a Contingent Deferred Sales Charge (as further set out in the Prospectus) fee, which would be deducted from redemption proceeds for redemptions in the Y

Share-Class.

The Management Company has agreed to bear the costs related to the Conversion, including any legal or operational costs associated with the mailing and publication of this notice.

The Conversion will be effected in accordance with Part III, Chapter XV of the Fund's Prospectus and article 26 of the Articles. Up until the dealing cut-off time on the Effective Date, you are entitled to redeem your investment in the Share-Class free of charge at the applicable net asset value per Share on the relevant Business Day, in accordance with the provisions of the Prospectus. Redemption proceeds will be paid out to you in proportion to your holdings in the Share-Class and be settled at a frequency and date to be determined in your best interest.

Please note that if you do not redeem your investment in the Sub-Fund by the Conversion Effective Date you will receive new shares in the corresponding share class issued at the applicable net asset value per Share calculated on the Valuation Day, in exchange for your current holdings.

You are advised to consult your tax (or other) advisers regarding the effect of the Conversion, as well as any consequences of investing in a Luxembourg-based fund, in light of your individual circumstances.

More information can be requested at the registered office of the Management Company.

Yours faithfully,

29 July 2025

On behalf of the Board of Directors of Goldman Sachs Funds III

Appendix I – Glossary of Defined Terms

“Articles”	means the articles of incorporation of the Fund.
“Board of Directors”	means the board of directors of the Fund or any duly appointed committee, as set out in the Prospectus.
“Business Day”	means any day the Board of Directors in consultation with the Management Company decides is a Business Day or those days when any of the following apply (1) banks are open for business in London and/or Luxembourg (2) the Luxembourg Stock Exchange is open for business (3) it is not a public holiday in the country where the portfolio management team of the Sub-Fund is located or (4) the Board of Directors in consultation with the Management Company believes that sufficient underlying markets in which the Sub-Fund may invest are open to permit sufficient trading and liquidity to enable the Sub-Fund to be managed efficiently. Business Days are defined on this basis for each Sub-Fund, and a list of non-Business Days is available from the Management Company on request. For the avoidance of doubt, the Board of Directors has notably decided that the following days will be non-Business Days: New Year's day (January 1st), Good Friday, Easter Monday, Christmas (December 25th) and Boxing Day (December 26th).
“Conversion”	means the conversion of: Goldman Sachs Emerging Markets Enhanced Index Sustainable Equity – Y (ISIN: LU0756535653) into Goldman Sachs Emerging Markets Enhanced Index Sustainable Equity – X (ISIN: LU0113302664)
“Effective Date”	means the date on which the changes notified in this notice will become effective.
“Fund”	means Goldman Sachs Funds III, an undertaking for collective investment organised under the laws of the Grand Duchy of Luxembourg and established as an "umbrella structure" comprised of a number of Sub-Funds.
“Management Company”	means Goldman Sachs Asset Management B.V. or any other entity as may be engaged by the Fund to act as its designated management company of the Fund from time to time.
“Prospectus”	means the Prospectus of the Fund.
“Shareholder”	means a holder of a Share in the Sub-Fund.
“Share Class”	means share class Y of the Sub-Fund issued by the Fund as described in Part II section “Share Classes” “in the Prospectus.
“Share”	means share(s) of share class Y of the Sub-Fund issued by the Fund as described in Part II section “Share Classes” in the Prospectus.
“Sub-Fund”	means Goldman Sachs Emerging Markets Enhanced Index Sustainable Equity.
“Valuation Day”	means each Business Day, unless otherwise stated in the Sub-Fund's factsheet in the Prospectus.

金融監督管理委員會 函

地址：22041新北市板橋區縣民大道2段7號
18樓

承辦人：林小姐

電話：02-2774-7227

傳真：02-8773-4154

電子信箱：weiyinlin@sfb.gov.tw

受文者：野村證券投資信託股份有限公司（代表人毛昱文先

生）

發文日期：中華民國114年7月8日

發文字號：金管證投字第1140349438號

速別：普通件

密等及解密條件或保密期限：

附件：

主旨：所請總代理之「高盛歐洲非投資等級債券基金」

【Goldman Sachs Europe High Yield (Former NN) 】

擬清算一案，同意照辦，並請依說明事項辦理，請查

照。

說明：

一、依據貴公司114年6月26日野村信字第1140000411號函辦理。

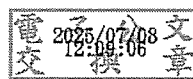
二、請貴公司依境外基金管理辦法第12條第6項規定，於事實發生之日起3日內，於指定之資訊傳輸系統（www.fundclear.com.tw）辦理公告。

三、旨揭申請事項尚須經註冊地主管機關核准，倘該主管機關有未同意之情事，請儘速向本會申報。

正本：野村證券投資信託股份有限公司（代表人毛昱文先生）

副本：中央銀行、中華民國證券投資信託暨顧問商業同業公會（代表人尤昭文先生）、

臺灣集中保管結算所股份有限公司（代表人林丙輝先生）



裝



訂

線

中華民國證券投資信託暨顧問商業同業公會 函

地址：10459台北市中山區長春路145號3

樓

聯絡人：楊淨涵

聯絡電話：(02)2581-7288#313

傳真：(02)2581-7388

電子信箱：Sunny.Yang@sitca.org.tw

受文者：野村證券投資信託股份有限公司

發文日期：中華民國114年7月24日

發文字號：中信顧字第1140002292號

速別：普通件

密等及解密條件或保密期限：

附件：無

主旨：貴公司申請所代理之高盛新興市場增強股票基金Y股美元自114年8月29日交易截止時間後暫停級別所有交易(包含單筆申購、轉申購(入)、定期定額、定額不定時、定時不定額方式或電腦自動交易投資機制投資，買回或轉換(出)之申請)，進行資產之轉換後註銷該級別於國內銷售乙案，同意照辦。

說明：

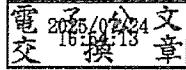
- 一、依據境外基金管理辦法第12條第3項規定辦理，兼復貴公司114年6月23日野村信字第1140000386號函、同日野村信字第1140000389號申請書及114年7月17日、7月23日、7月24日補正資料。
- 二、請於本公會核准後三日內(如期間之末日遇假日，順延至次一營業日)或境外基金機構所定全球統一公告通知日，於基金資訊觀測站-境外基金資訊公告平台「所代理之境外基金



於國內募集銷售之級別有新增、暫停、恢復或註銷情事」
之公告選項下以本次申請暫停及註銷級別完成文字公
告。

正本：野村證券投資信託股份有限公司

副本：臺灣集中保管結算所股份有限公司



附件-受影響之基金清單

基金中文名稱	基金英文名稱	開買/央行/ 集保代碼	下單代碼/ ISIN Code	基金類型	基金股別 (I股為法人級別)	計價幣別
高盛歐洲非投資等級債券基金I股對沖級別歐元(年配息)	Goldman Sachs Europe High Yield (Former NN) - I Dis(Q) EUR (hedged ii)	NNEHYIDQEURH	LU0737031400	債券型	I	EUR
高盛歐洲非投資等級債券基金I股歐元	Goldman Sachs Europe High Yield (Former NN) - I Cap EUR	NNEHYIEUR	LU0529382870	債券型	I	EUR
高盛歐洲非投資等級債券基金I股對沖級別歐元	Goldman Sachs Europe High Yield (Former NN) - I Cap EUR (hedged ii)	NNEHYIEURH	LU0529383175	債券型	I	EUR
高盛歐洲非投資等級債券基金I股對沖級別美元	Goldman Sachs Europe High Yield (Former NN) - I Cap USD (hedged i)	NNEHYIUSDH	LU0955565741	債券型	I	USD
高盛歐洲非投資等級債券基金X股歐元(年配息)	Goldman Sachs Europe High Yield (Former NN) - X Dis EUR	NNEHYXDEUR	LU1086541718	債券型	X	EUR
高盛歐洲非投資等級債券基金X股歐元	Goldman Sachs Europe High Yield (Former NN) - X Cap EUR	NNEHYXEUR	LU0529382011	債券型	X	EUR
高盛新興市場增強股票基金X股美元	Goldman Sachs Emerging Markets Enhanced Index Sustainable Equity X Cap USD	NNLAEXUSD	LU0113302664	股票型	X	USD
高盛新興市場增強股票基金Y股美元	Goldman Sachs Emerging Markets Enhanced Index Sustainable Equity Y Cap USD	NNLAEYUSD	LU0756535653	股票型	Y	USD